

DATE OF MEETING SEPTEMBER 18, 2019

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**SUBJECT QUARTERLY PURCHASING REPORT (SINGLE AND SOLE SOURCE), INSTANCES OF NON-COMPLIANCE PURCHASES AND PURCHASES IN EXCESS OF \$250,000**

## **OVERVIEW**

### **Purpose of Report:**

To provide information in compliance with the City's Procurement Policy (03-1200-01) regarding single and sole source purchases, awards in excess of \$250K and policy non-compliance.

## **DISCUSSION**

The City's Procurement Policy (03-1200-01) requires the following:

### “17 Reporting

17.1 On a quarterly basis, Council will be provided with an information report summarizing the following:

- 17.1.1 Sole source and single source purchases between \$25,000 and \$250,000;
- 17.1.2 Award of all purchases in excess of \$250,000; and,
- 17.1.3 Instances of Non-Compliance and action taken in each instance.”

This report outlines results of the above processes for information. Further details are summarized in Attachment A, Attachment B, and Attachment C attached to this report.

### **Sole Source Purchases**

“**Sole Source Purchase**” means a non-competitive acquisition whereby the purchases for goods and or services are directed to one source where there is only one available Vendor or Contractor of that good and or service that meets the needs or requirements of the City. Sole source purchases go through an internal control review process and sign off covering justification, review of decision and costs.

## Single Source Purchases

“**Single Source Purchase**” means a non-competitive acquisition whereby purchases for goods and or services are directed to one source because of standardization, warranty, or other factors, even though other competitive sources may be available.

Due to staffing constraints, the City is not able at this time to undertake internal audits or reviews to determine policy compliance deviations. The City’s internal controls have been updated to flag non-compliance going forward using current Staff and if it is determined that the associated risks are significant additional resources will be requested from Council.

### SUMMARY POINTS

- The City undertook fourteen (14) Sole Source and Single Source Purchases >\$25,000<=\$250,000 subject to Procurement Policy (03-1200-01) reporting for a total amount of \$9,834.48 (USD) and \$566,597.37 (CAD) including PST for the period ending 2019-JUN-30.
- The City undertook eleven (11) Instances of Purchases >\$250,000.00 subject to Procurement Policy (03-1200-01) reporting for a total amount of \$15,097,038.34 for the period ending 2019-JUN-30.
- The City undertook one (1) Instance of Non-Compliant >\$25,000<=\$250,000 purchases subject to Procurement Policy (03-1200-01) reporting for a total amount of \$95,391.00 for the period ending 2019-JUN-30.

### ATTACHMENTS

- Attachment A: Q2 2019 – Sole Source and Single Source Purchases >\$25,000<=\$250,000
- Attachment B: Q2 2019 – Purchases : >\$250,000
- Attachment C: Q2 2019 – Non-Compliant: >\$25,000<=\$250,000 |

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